



**CAQH CORE Connectivity Rule vC3.1.0  
CAQH CORE-Required Processing Mode  
Payload Type Tables  
May 2020**

**CAQH Committee on Operating Rules for Information Exchange (CORE)  
Connectivity Rule vC3.1.0  
CAQH CORE-required Processing Mode and Payload Type Tables**

**Revision History for CAQH CORE Connectivity Rule vC3.1.0 CAQH CORE-Required Processing Mode Payload Type Tables**

| <b>Version</b> | <b>Revision</b> | <b>Description</b>   | <b>Date</b>    |
|----------------|-----------------|--|----------------|
| 4.0.0          | Major           | Phase IV CAQH CORE 470 Connectivity Rule CAQH CORE-Required Processing Mode Payload Type Tables balloted and approved via CAQH CORE Voting Process.  | September 2015 |
| C3.1.0         | Minor           | <ul style="list-style-type: none"> <li>• Non-substantive adjustments to support re-organization of operating rules into rule sets organized by business transaction (e.g., Eligibility &amp; Benefits, Claim Status, etc.) rather than phase (e.g., Phase I, II, etc.) as approved by the CAQH CORE Board in 2019.</li> <li>• Operating rule naming, versioning and numbering methodologies updated to align with business transaction-based rule sets.</li> </ul> | May 2020       |

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## **1. Introduction**

The CAQH CORE-required Processing Mode Table specifies the transaction processing mode requirements (i.e., Real Time and/or Batch) for each transaction addressed by the CAQH CORE Connectivity Rule vC3.1.0. (See §1 and §3.3 of the rule.)

The CAQH CORE-required Payload Type Table specifies the comprehensive and normative set of identifiers for the CAQH CORE Envelope Metadata Payload Type Element in §4.4.2 of the CAQH CORE Connectivity Rule vC3.1.0.

CAQH CORE recognizes that as this rule becomes widely adopted and implemented in health care the experience and learning gained from implementers may indicate a need to modify either the CAQH CORE-required Processing Mode Table or the CAQH CORE-required Payload Type Table or both to meet emerging or new industry needs. Given this anticipated need, it is critical to develop a process and policy to enable the review and maintenance of these tables specified in the companion document to this rule.

Such review and maintenance of either the CAQH CORE-required Processing Mode Table or the CAQH CORE-required Payload Type Table or both will follow standard CAQH CORE processes for rule revisions. CAQH CORE will develop such a process and policy for the first review of potential revisions of these tables in accordance with CAQH CORE Guiding Principles following the approval of CAQH CORE Connectivity Rule vC3.1.0. The first review may commence:

- One year after the passage of a Federal regulation requiring implementation of this CAQH CORE rule

Or

- When Federal regulation or Federal notices to the industry impacting the transactions addressed by this rule are published.

Substantive changes to the tables will be reviewed and approved by CAQH CORE as necessary to ensure accurate and timely revision. The impact of any such changes to any CAQH CORE Infrastructure Rule will need to be considered during the review of potential revisions. CAQH CORE Infrastructure Rules address other requirements for conducting the transactions addressed by this rule, such as response times for Real Time and/or Batch Processing Modes, System Availability, Companion Document flow and format, etc.

## **2. Processing Mode Table**

The table below is a listing of the third set of ACA Section 1104 mandated transactions to which the CAQH CORE Connectivity Rule vC3.1.0 applies. For each of the transactions, the following are identified in this table:

- Required and optional processing modes for the transaction

These processing mode requirements apply when a HIPAA-covered entity and its agent are exchanging the transactions addressed by this rule in conformance with the requirements specified in CAQH CORE Connectivity Rule vC3.1.0 §4 and subsections.

These processing mode requirements also apply when a HIPAA-covered entity and its agent are exchanging the transactions addressed by the CAQH CORE Connectivity Rule vC3.1.0 using any other connectivity method as permitted by the CAQH CORE Safe Harbor (See §5).

Any updates made to the Processing Mode Table may require corresponding updates to Payload Type Table specified in §3 of this document.

For the ASC X12N v5010 837 Claim, ASC X12N v5010 820 and ASC X12N v5010 834 transactions where Batch Mode is required and Real Time mode is optional, Payload Types are included in the Payload Type Table to support both Real Time and Batch Processing Modes recognizing that Real Time Processing Mode is not widely used for these transactions. Both the ASC X12N v5010 820 and ASC

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X12N v5010 834 transactions are heavily used in the Health Insurance Exchanges<sup>1</sup> (HIXs). The use of the ASC X12N v5010 820 and ASC X12N v5010 834 transactions by the ACA Insurance Exchanges is out of scope for this CAQH CORE Connectivity Rule vC3.1.0. For the ASC X12N v5010 278 transaction where either Real Time or Batch Mode is required, Payload Types are included in the Payload Type table to support both Real Time and Batch Processing Modes.

| <b>Processing Mode Table</b>       |  |
|------------------------------------|--|
| <b>Transaction</b>                 | <b>Processing Modes</b>  |
| X12 v5010 837I                     | Batch Mode Required, Real Time Optional  |
| X12 v5010 837P                     | Batch Mode Required, Real Time Optional  |
| X12 v5010 837D                     | Batch Mode Required, Real Time Optional  |
| X12 v5010 278 Request and Response | Either Real Time or Batch Mode; both modes may be implemented, at least one mode must be implemented |
| X12 v5010 820                      | Batch Mode Required, Real Time Optional  |
| X12 v5010 834                      | Batch Mode Required, Real Time Optional  |

**Note:** The Processing Mode Requirements in the above table are applicable only to the third set of the ACA mandated transactions as listed in the table. Processing Mode requirements for other transactions identified in the ACA are addressed in the ACA mandated CAQH CORE Operating Rules for eligibility, claim status, and ERA.

### 3. Enumeration of Payload Type Fields

The CAQH CORE Connectivity Rule vC3.1.0 requires that the ASC X12 payload must be wrapped with a SOAP envelope, which contains metadata about the transaction payload. (See §4.4 of the CAQH CORE Connectivity Rule vC3.1.0.) This metadata includes a Payload Type element. The required coded value set for this metadata element when an ASC X12 payload is being exchanged is enumerated in the Payload Type Table below. The enumerated payload types support the processing modes specified in the Processing Mode Table above as well as the messaging interactions for the transactions as described in §7.3 of the rule.

Any updates made to the Payload Type Table may require corresponding updates to the Processing Mode Table.

The enumeration of payload types in this table are normative and comprehensive, hence this set of enumerated values goes beyond the set of transactions addressed by the CAQH CORE Connectivity Rule vC3.1.0.

For the ASC X12N v5010 837 Claim, ASC X12N v5010 820 and ASC X12N v5010 834 transactions where Batch Mode is required and Real Time Mode is optional, Payload Types are included in the Payload Type Table to support both Real Time and Batch Processing Modes recognizing that Real Time Processing Mode is not widely used for these transactions. For the ASC X12N v5010 278 transaction where either Real Time or Batch Mode is required, Payload Types are included in the Payload Type table to support both Real Time and Batch Processing Modes.

The payload types for the HIPAA mandated ASC X12N v5010 transactions may be updated when Federal regulation or Federal notices to the industry impacting the transactions addressed by this rule are published.

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<sup>1</sup> 45 CFR §155.20 Definitions. *Exchange* means a governmental agency or non-profit entity that meets the applicable standards of this part and makes QHPs available to qualified individuals and/or qualified employers. Unless otherwise identified, this term includes an Exchange serving the individual market for qualified individuals and a SHOP serving the small group market for qualified employers, regardless of whether the Exchange is established and operated by a State (including a regional Exchange or subsidiary Exchange) or by HHS.

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**Note:** The table below includes payload type values for all HIPAA mandated ASC X12N v5010 transactions, including those transactions that are addressed in the CAQH CORE Operating Rules for eligibility, claim status and ERA.

- HIPAA-covered entities and their agents are required to use this CAQH CORE Connectivity Rule vC3.1.0 for the exchange of claims, prior authorization (including other patient events as identified in the ASC X12N v5010 278 Technical Report Type 3), benefit enrollment and maintenance, and health plan premium payment transactions, subject to the Safe Harbor provisions of the CAQH CORE Connectivity Rule vC3.1.0.
- HIPAA-covered entities and their agents may also use this CAQH CORE Connectivity Rule vC3.1.0 for the exchange of eligibility, claim status and ERA transactions in accordance with the Safe Harbor provision of the CAQH CORE Connectivity Rule vC2.2.0. However, this does not permit any HIPAA-covered entity and its agent to discontinue support for the exchange of the eligibility, claim status and ERA transactions as required in the CAQH CORE Connectivity Rule vC2.2.0.
- HIPAA-covered entities and their agents may also use this CAQH CORE Connectivity Rule vC3.1.0 for the exchange of ASC X12 transactions not mandated by HIPAA.

**3.1. Payload Types for Real Time Processing Mode**

For Real Time requests, *ProcessingMode* must be set to *RealTime*, and *PayloadType* must be set to the following values for Request, Response and Errors:

| Payloads to Support ASC X12 Administrative Transactions for Real Time   |                |  |   |
|---|----------------|--|---|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive) |   |
|   |                | Request                                      | Response  |
| <b>3.1.1. Real Time – See Section §7.3.1</b>                            |                |  |   |
| Health Care Eligibility Benefit Inquiry and Response                    | Y              | X12_270_Request_005010X279A1                 | X12_271_Response_005010X279A1<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1     |
| Health Care Claim Status Request and Response                           | Y              | X12_276_Request_005010X212                   | X12_277_Response_005010X212<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1       |
| Health Care Services Review - Request for Review and Response           | Y              | X12_278_Request_005010X217E1_2               | X12_278_Response_005010X217E1_2<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1   |
| Health Care Services Review - Inquiry & Response                        | N              | X12_278_Request_005010X215                   | X12_278_Response_005010X215<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1       |
| Health Care Services Review - Notification & Announcement               | N              | X12_278_Request_005010X216E2                 | X12_278_Response_005010X216E2<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1     |
| Payroll Deducted and Other Group Premium Payment for Insurance Products | Y              | X12_820_Request_005010X218A1                 | X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1                                      |
| Benefit Enrollment and Maintenance                                      | Y              | X12_834_Request_005010X220A1                 | X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1                                      |
| Health Care Claim: Institutional  | Y              | X12_837_Request_005010X223A1_2               | X12_277CA_Response_005010X214E1_2<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1 |
| Health Care Claim: Professional   | Y              | X12_837_Request_005010X222A1                 | X12_277CA_Response_005010X214E1_2<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1 |
| Health Care Claim: Dental   | Y              | X12_837_Request_005010X224A1_2               | X12_277CA_Response_005010X214E1_2<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1 |
| <b>3.1.2. Real Time Adjudication – See Section §7.3.1</b>               |                |  |   |
| Health Care Claim: Institutional  | N              | X12_837_Request_005010X223A1_2               | X12_835_Response_005010X221A1<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1     |

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| Payloads to Support ASC X12 Administrative Transactions for Real Time |                |  |   |
|---|----------------|--|---|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive) |   |
|   |                | Request                                      | Response  |
| Health Care Claim: Professional                                       | N              | X12_837_Request_005010X222A1                 | X12_835_Response_005010X221A1<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1 |
| Health Care Claim: Dental   | N              | X12_837_Request_005010X224A1_2               | X12_835_Response_005010X221A1<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1 |

| Payloads to Support All ASC X12 Administrative Transactions for Real Time |                |  |                               |
|---|----------------|--|-------------------------------|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive) |                               |
|   |                | Request                                      | Response                      |
| <b>3.1.3. Acknowledgements</b>  |                |  |                               |
| TA1 Interchange Acknowledgement <sup>2</sup>                              | N              |  | X12_TA1_Response_005010X231A1 |
| Implementation Acknowledgement  | N              |  | X12_999_Response_005010X231A1 |
| <b>3.1.4. Errors</b>  |                |  |                               |
| CORE Envelope Error   | N/A            |  | CoreEnvelopeError             |

**3.2. Payload Types for Batch Processing Mode**

For Batch requests, *ProcessingMode* must be set to *Batch*, and *PayloadType* must be set to the following values for Request, Response and Errors.

| Payloads to Support ASC X12 Administrative Transactions for Batch  |                |  |  |
|--|----------------|--|--|
| Transaction Name   | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)   |  |
|  |                | Request  | Response   |
| <b>3.2.1. Batch Payload Submission and Subsequent Batch Response Pick Up - See §7.3.2.1 Batch Interaction with Specific Payload Type</b> |                |  |  |
| Health Care Eligibility Benefit Inquiry and Response   | Y              | Batch Submission:<br>X12_270_Request_005010X279A1  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|  |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|  |                | Results Retrieval Request:<br>X12_005010_Request_Batch_Results_271   | Results Retrieval Response:<br>X12_271_Response_005010X279A1<br>X12_005010_Response_NoBatchResultsFile                                   |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X23A1  | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |
| Health Care Claim Status Request and Response  | Y              | Batch Submission:<br>X12_276_Request_005010X212  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|  |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|  |                | Results Retrieval Request:<br>X12_005010_Request_Batch_Results_277   | Results Retrieval Response:<br>X12_277_Response_005010X212<br>X12_005010_Response_NoBatchResultsFile                                     |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |

<sup>2</sup> The use of the TA1 Interchange Acknowledgement is not specified in a separate ASC X12 Implementation Specification. Reference Appendix C EDI Control Directory of the ASC X12C 005010X231A1 Implementation Acknowledgement for Health Care Insurance (999) Technical Report Type 3 for implementation guidance of the TA1 with v5010 ASC X12 administrative transactions.

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|---|----------------|--|--|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)   |  |
|   |                | <b>Request</b>   |  |
|   |                | <b>Response</b>  |  |
| Health Care Services Review - Request for Review and Response     | Y              | Batch Submission:<br>X12_278_Request_005010X217E1_2  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_278_Request_Batch_Results_005010X217E1_2   | Results Retrieval Response:<br>X12_278_Response_005010X217E1_2<br>X12_005010_Response_NoBatchResultsFile                                 |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |
| Health Care Services Review - Inquiry & Response                  | N              | Batch Submission:<br>X12_278_Request_005010X215E1  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_278_Request_Batch_Results_005010X215E1_2   | Results Retrieval Response:<br>X12_278_Response_005010X215E1_2<br>X12_005010_Response_NoBatchResultsFile                                 |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |
| Health Care Services Review - Notification & Announcement         | N              | Batch Submission:<br>X12_278_Request_005010X216E1_2  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_278_Request_Batch_Results_005010X216E1_2   | Results Retrieval Response:<br>X12_278_Response_005010X216E1_2<br>X12_005010_Response_NoBatchResultsFile                                 |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |
| Health Care Claim: Institutional                                  | Y              | Batch Submission:<br>X12_837_Request_005010X223A1_2  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_277CA_Request_Batch_Results_005010X214E1_2   | Results Retrieval Response:<br>X12_277CA_Response_005010X214E1_2<br>X12_005010_Response_NoBatchResultsFile                               |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |
| Health Care Claim: Professional                                   | Y              | Batch Submission:<br>X12_837_Request_005010X222A1  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_277CA_Request_Batch_Results_005010X214E1_2   | Results Retrieval Response:<br>X12_277CA_Response_005010X214E1_2<br>X12_005010_Response_NoBatchResultsFile                               |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |



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| Payloads to Support ASC X12 Administrative Transactions for Batch |                |  |  |
|---|----------------|--|--|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)   |  |
|   |                | Request  | Response   |
| Health Care Claim:<br>Dental                                      | Y              | Batch Submission:<br>X12_837_Request_005010X224A1_2  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1   | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
|   |                | Results Retrieval Request:<br>X12_277CA_Request_Batch_Results_005010X214E1_2   | Results Retrieval Response:<br>X12_277CA_Response_005010X214E1_2<br>X12_005010_Response_NoBatchResultsFile                               |
|   |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived   |

| Payloads to Support ASC X12 Administrative Transactions for Batch            |                |  |  |
|--|----------------|--|--|
| Transaction Name   | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)   |  |
|  |                | Request  | Response   |
| <b>3.2.2. Batch Payload Retrieval (Pick Up) - See §7.3.3.2. Generic Pull</b> |                |  |  |
| Health Care Claim Acknowledgement  | N              | Retrieval Request:<br>X12_277CA_Request_005010X214E1_2   | Retrieval Response:<br>X12_277CA_Response_005010X214E1_2                       |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived |
| Health Care Claim Payment/Advice   | Y              | Retrieval Request:<br>X12_835_Request_005010X221A1   | Retrieval Response:<br>X12_835_Response_005010X221A1                           |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived |
| Health Care Claim Pending Status Information                                 | N              | Retrieval Request:<br>X12_277_Request_005010X228E1   | Retrieval Response:<br>X12_277_Response_005010X228E1                           |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived |
| Payroll Deducted and Other Group Premium Payment for Insurance Products      | Y              | Retrieval Request:<br>X12_820_Request_005010X218A1   | Retrieval Response:<br>X12_820_Response_005010X218A1                           |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived |
| Benefit Enrollment and Maintenance   | Y              | Retrieval Request:<br>X12_834_Request_005010X220A1   | Retrieval Response:<br>X12_834_Response_005010X220A1                           |
|  |                | Batch Results Acknowledgment Submission:<br>X12_999_SubmissionRequest_005010X231A1<br>X12_TA1_SubmissionRequest_005010X231A1 | Batch Results Acknowledgement Response:<br>X12_Response_ConfirmReceiptReceived |

| Payloads to Support ASC X12 Administrative Transactions for Batch   |                |  |   |
|---|----------------|--|---|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)                 |   |
|   |                | Request  | Response  |
| <b>3.2.3. Batch Payload Submission - See §7.3.3.1. Generic Push</b> |                |  |   |
| Health Care Claim Request for Additional Information                | N              | Batch Submission (step 1):<br>X12_277_Request_005010X213E1_2 | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation                         |
|   |                | Batch Submission (step 2):<br>X12_275_Request_005010X210E1   | Acknowledgement:<br>X12_TA1_Response_00501X231A or<br>X12_999_Response_005010X231A1 |
| Request for Information in Support of a Disability Claim            | N              | Batch Submission (Step 1):<br>X12_277_Request_005010X227     | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation                         |
|   |                | Batch Submission (Step 2)<br>X12_275_Request_005010X210E1    | Acknowledgement:<br>X12_TA1_Response_00501X231A or<br>X12_999_Response_005010X231A1 |

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| Payloads to Support ASC X12 Administrative Transactions for Batch       |                |  |  |
|---|----------------|--|--|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive)                                     |  |
|   |                | Request  | Response   |
| <b>3.2.4. Batch Payload Submission - See §7.3.3.1. Generic Push</b>     |                |  |  |
| Payroll Deducted and Other Group Premium Payment for Insurance Products | Y              | Batch Submission:<br>X12_820_Request_005010X218A1                                | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Health Insurance Exchange Related Payments                              | N              | Batch Submission:<br>X12_820_Request_005010X306                                  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Benefit Enrollment and Maintenance                                      | Y              | Batch Submission:<br>X12_834_Request_005010X220A1                                | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Health Care Benefit Coordination Verification                           | N              | Batch Submission:<br>X12_269_Request_005010X187                                  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Health Care Predetermination – Professional                             | N              | Batch Submission:<br>X12_837_Request_005010X291                                  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Health Care Predetermination – Institutional                            | N              | Batch Submission:<br>X12_837_Request_005010X292                                  | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |
| Health Care Service: Data Reporting                                     | N              | Batch Submission:<br>X12_837_Request_005010X225A1_2E1                            | Batch Receipt Confirmation:<br>X12_BatchReceiptConfirmation  |
|   |                | Batch Acknowledgment Retrieval Request:<br>X12_999_RetrievalRequest_005010X231A1 | Batch Acknowledgement Responses:<br>X12_999_Response_005010X231A1<br>X12_TA1_Response_005010X231A1<br>X12_005010_Response_NoBatchAckFile |

| Payloads to Support ASC X12 Administrative Transactions for Batch                                  |                |  |  |
|--|----------------|--|--|
| Transaction Name   | HIPAA Mandated | Payload Type Value (Normative/Comprehensive) |  |
|  |                | Request                                      | Response                                 |
| <b>3.2.5. Mixed/Non-Payload Specific Batch - See §7.3.2.2 Batch Interaction with Mixed Payload</b> |                |  |  |
|  |                |  | Types                                    |
| Batch Submission (mixed payload types)   | N/A            | X12_005010_Request_BatchSubmissionMixed      | X12_005010_Response_BatchSubmissionMixed |
| Batch Results Retrieval (mixed payload types)  | N/A            | X12_005010_Request_BatchResultsMixed         | X12_005010_Response_BatchResultsMixed    |
| No Batch Results Available   | N/A            |  | X12_005010_Response_NoBatchResultsFile   |

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| Payloads to Support ASC X12 Administrative Transactions for Batch |                |  |                                     |
|---|----------------|--|-------------------------------------|
| Transaction Name  | HIPAA Mandated | Payload Type Value (Normative/Comprehensive) |                                     |
|   |                | Request                                      | Response                            |
| <b>3.2.6. Acknowledgements</b>                                    |                |  |                                     |
| Batch Receipt Confirmation Response                               | N              |  | X12_BatchReceiptConfirmation        |
| TA1 Interchange Acknowledgement Submission <sup>3</sup>           | N              | X12_TA1_SubmissionRequest_00501X231A1        | X12_Response_ConfirmReceiptReceived |
| Retrieval of TA1 <sup>4</sup>                                     | N              | X12_TA1_RetrievalRequest_00501X231A1         | X12_TA1_Response_00501X231A1        |
| Implementation Acknowledgement Submission                         | N              | X12_999_SubmissionRequest_005010X231A1       | X12_Response_ConfirmReceiptReceived |
| Implementation Acknowledgement Retrieval                          | N              | X12_999_RetrievalRequest_005010X231A1        | X12_999_Response_005010X231A1       |
| Application Reporting for Insurance                               | N              | X12_824_Request_005010X186                   | X12_824_Response_005010X186         |
| General Acknowledgements Pick Up                                  | N              | X12_005010_Request_Acks                      | X12_005010_Response_Acks            |
| No Acknowledgement File   | N/A            |  | X12_005010_Response_NoBatchAckFile  |
| Payload Receipt Confirmation                                      | N/A            | X12_Request_ConfirmReceipt                   | X12_Response_ConfirmReceiptReceived |
| <b>3.2.7. Errors</b>  |                |  |                                     |
| CORE Envelope Error   | N/A            |  | CoreEnvelopeError                   |

<sup>3</sup> Ibid.

<sup>4</sup> Ibid.