

BACKGROUND

This rule for use of acknowledgements for batch mode places parallel responsibilities on both submitters of the ASC X12 005010X279A1 Eligibility Benefit Request and Response (270/271) (hereafter v5010 270) inquiries (providers) and submitters of the ASC X12 005010279A1 Eligibility Benefit Request and Response (270/271) (hereafter v5010 271) responses (health plans or information sources) for sending and accepting the ~~TA1 and 997~~ ASC X12 005010X231A1 Implementation Acknowledgement for Health Care Insurance (999) (hereafter v5010 999.) The goal of this approach is to adhere to the principles of EDI in assuring that transactions sent are accurately received and to facilitate health plan correction of errors in their outbound responses.

The rule assumes a successful communication connection has been established and that all parties in the transaction routing path are CORE-certified.

RULE**Section 1: Use of the ~~TA1, 997~~ v5010 999 and v5010 271 Acknowledgements for Batch****Subsection 1.1: Reporting on a Batch v5010 270 or v5010 271 Submission that is Rejected*****ISA Interchange Rejection***

The TA1 Interchange Acknowledgement is used only to indicate a rejection (negative acknowledgement) of the ISA/IEA Interchange containing the 270 batch inquiries or 271 batch responses. The receiver of the batch (provider, clearinghouse, intermediary, health plan or information source) must always return the TA1 in the case of an ISA/IEA rejection, regardless of the value in the ISA 14 I13 Acknowledgement Requested indicator.

Functional Group or Transaction Set Acknowledgement Rejection

If the v5010 270 batch inquiries or v5010 271 batch responses pass ASC X12 Interchange ISA/IEA editing, but an X12 standards syntax error resulting in a rejection is found during the validation of the Functional Group(s) or Transaction Set(s) within a Functional Group, the receiver of the batch (the provider, clearinghouse, intermediary, health plan or information source) must always return a ASC X12 005010X231A1 Implementation Acknowledgement for Health Care Insurance (999) for each Functional Group of v5010 270 inquiries or v5010 271 responses to indicate that the Functional Group was either accepted, accepted with errors, or rejected 997 Functional Acknowledgement to indicate that the Functional Group was rejected (negative acknowledgement)

and

to specify for each included v5010 270 inquiry or 271 response Transaction Set that Transaction Set was either accepted, accepted with errors, or rejected.

Subsection 1.2: Reporting on Batch Envelope that is Accepted

The receiver of the batch (provider, clearinghouse, intermediary, health plan or information source) must always return a 997 Functional Acknowledgement if the 270 or 271 batch complies with all X12 standard syntax requirements (positive acknowledgement) to indicate that the batch has been accepted for processing. Therefore, in batch mode, the receiver

(provider, clearinghouse, intermediary, health plan or information source) will always return a v5010 999 997 acknowledgement indicating either rejection or acceptance of the batch.

If the v5010 270 batch is accepted for processing, a batch of v5010 271 responses are subsequently returned to the submitter by the health plan (or information source.) The AAA segments in the v5010 271 responses are used to report business level error situations.

Section 2: Requirements for Return of TAI or a v5010 999 997

The TAI Interchange Acknowledgement or the 997 v5010 999 Functional Implementation Acknowledgement must not be returned during the initial communications session in which the v5010 270 batch is submitted. Reference the CORE 153 Eligibility and Benefit Connectivity and CORE 155 Online Eligibility and Benefit Batch Response Time (Section 2: TAI and 997 v5010 999 Response Time Requirements) rules for the timing and availability of these two acknowledgements.

CONFORMANCE

Conformance with this rule is considered achieved by receivers of the batch (provider, clearinghouse, intermediary, health plan or information source) if all of the following criteria are achieved:

- ~~1. A TAI is returned only to indicate an Interchange error resulting in the rejection of the entire Interchange; the ISA 14 I13 Acknowledgement Requested field is ignored.~~
 - ~~a) A TAI must not be returned if there are no errors in the Interchange control segments.~~
2. A v5010 999 997 is returned to indicate either acceptance of a Functional Group (including the enclosed Transaction Set) or to indicate a Functional Group or the enclosed Transaction Set error resulting in the rejection of the entire Functional Group.
 - a) A v5010 999 997 must always be returned even if there are no errors in the Functional Group and enclosed Transaction Set.
3. A v5010 271 eligibility response transaction must always be returned for an Interchange, Functional Group and Transaction Set that complies with ASC X12 TR3 implementation guide standard syntax requirements.
 - a) A v5010 271 eligibility response transaction may contain either the appropriate AAA Validation Request segment(s) in the case of a business level error or the data segments containing the requested eligibility and benefit status details.

Conformance with this rule must be demonstrated through successful completion of the approved CORE test suite for this rule with a CORE-authorized testing vendor.