



Phase III CAQH CORE 301 CORE Pledge  
version 3.0.1 May 2014

## CORE™ Pledge

*NOTE: Organizations that are Phase I and Phase II CORE-certified are required to sign only the Phase III Addendum found on page 5.*

The Council for Affordable Quality Healthcare (“CAQH”) has created the Committee on Operating Rules for Information Exchange (“CORE”). CORE’s mission is to use common business rules (the “Operating Rules”) to promote interaction of healthcare trading partners and the exchange of healthcare-related information in a consistent, clear, and standardized manner and in compliance with applicable laws and regulations. Developing consistency between trading partners, and thus promoting interoperability, would benefit the healthcare industry by improving the usefulness of healthcare information and reducing administrative costs for stakeholders involved in healthcare data exchange.

Phase I of CORE’s mission is focused on promulgating Operating Rules to increase the usefulness of, and reduce the administrative challenges associated with, eligibility, benefit, and other administrative inquiries by giving providers access to a patient’s information at the time of service (or before) using the provider’s preferred electronic means. Subsequent phases will broaden the Operating Rules to expand the Operating Rules surrounding eligibility and benefit inquiries and to include additional administrative transaction types consistent with the CAQH CORE Vision. As additional Operating Rules are promulgated in subsequent phases, Participant and CAQH CORE may incorporate those additional Operating Rules into this Pledge by executing a separate addendum that incorporates the additional Operating Rules into this Pledge.

\_\_\_\_\_ (“Participant”) hereby endorses CAQH CORE’s mission.

In furtherance of CAQH CORE’s mission, Participant pledges to adopt, implement, and comply with the CAQH CORE Operating Rules as promulgated by CAQH CORE and in effect as of the date of this Pledge, in accordance with the timeframes set forth in the Operating Rules, *as and to the extent applicable to Participant’s business*. In addition, Participant pledges to use reasonable efforts to encourage Participant’s trading partners to use the CAQH CORE Operating Rules. Moreover, Participant will participate in the CORE Certification Program described in the CAQH CORE Operating Rules (“Certification”) to the extent applicable.<sup>1</sup> Finally, with the goal of improving the quality and utility of the Operating Rules on an ongoing basis, Participant pledges to provide feedback (which may be either qualitative or quantitative) relating to the Operating Rules.

By signing this Pledge, the Participant also agrees to be publicly recognized as a supporter of CAQH CORE’s mission and an endorser of the CAQH CORE Operating Rules. CAQH CORE may use Participant’s name and logo (as provided by Participant and subject to any reasonable restrictions around use of the logo provided by the Participant to CAQH CORE in writing) solely in connection with such CAQH CORE publicity. CAQH CORE will make any materials using Participant’s name or logo available to Participant promptly after release and will respond to Participant promptly and in good faith if Participant objects to CAQH CORE’s use of Participant’s name or logo. In particular, CAQH CORE will discontinue any use of Participant’s name or logo to the extent requested to do so by Participant in writing. Participant, at its option, may participate in the CORE Work Group responsible for designing CAQH CORE’s publicity campaign “CAQH CORE Marketing Work Group.” Participant may describe itself as an “endorser of the Phase I CAQH CORE Operating Rules” or an “endorser of CAQH CORE” as long as this Pledge is in effect. Participant may describe itself as “CORE-Certified” only after achieving certification in accordance with the CAQH CORE Operating Rules. Participant may not otherwise use the CORE name or marks without CAQH CORE’s prior written consent.

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<sup>1</sup> This clause is meant to address entities that are not subject to Certification (e.g., associations or industry groups) and to address the differences in Certification applicable to different participant-types that are subject to Certification (e.g., providers, payers, vendors, and clearinghouses).

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Participant recognizes that the CAQH CORE Operating Rules have been developed by a team of representative members of the healthcare industry that have been coordinated by CORE through CAQH and the stakeholders participating in CORE, and Participant agrees that neither CAQH nor CORE (nor their respective members, representatives, and/or agents) will be held responsible for the results of using the Operating Rules in Participant's business and that neither CAQH nor CORE (or their respective members, representatives, and/or agents) shall have any liability to Participant arising from or related to the Operating Rules or their use by Participant. Remedies for breach of the Operating Rules are as set forth in the Operating Rules; this Pledge does not create any additional remedies against Participant.

Participant recognizes that, as a standard, the CAQH CORE Operating Rules are being made publicly available for use by the healthcare industry in anticipation of broad industry adoption. As such, Participant acknowledges that it has no intellectual property rights in the Operating Rules and that any intellectual property rights in the Operating Rules are owned by CAQH on behalf of CORE.

Participant represents that its participation with CORE and this Pledge to use the CAQH CORE Operating Rules are entirely voluntary. Participant may withdraw from using the Operating Rules at any time by submitting sixty (60) days written notice to CAQH CORE. In addition, CORE (including CORE as acting through CAQH) may terminate this Pledge upon written notice if Participant loses its Certification and such Certification is not reinstated within one-hundred eighty (180) days, or if Participant fails to obtain Certification within one-hundred eighty (180) days of execution of this Pledge. In the event of termination of the Pledge for any reason, Participant must immediately stop using all CORE marks, including any references to being "CORE-certified."

Accepted:

Acknowledged:

Participant:

Council for Affordable Quality Healthcare  
on behalf of CORE

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Robin J. Thomashauer

Title: \_\_\_\_\_

Executive Director

Date: \_\_\_\_\_

Date: \_\_\_\_\_

***For Phase III Certification or Endorsement: Refer to the following page for Phase III Addendum.***

***After signing this document (and ensuring your organization will be able to successfully complete CORE Certification testing in 180 days if you are seeking CORE Certification), please submit to:***

CORE  
c/o CAQH  
1900 K Street, NW  
Suite 650  
Washington, DC 20006

E: [CORE@caqh.org](mailto:CORE@caqh.org)

F: 202-517-0397

**CORE™ PLEDGE  
PHASE II ADDENDUM**

This addendum to the Phase I CORE Pledge supplements the Phase I CORE Pledge (the “Pledge”) signed by CAQH and Participant, and extends Participant’s support of the Committee on Operating Rules for Information Exchange (“CORE”) to Phase II CORE. In particular, CAQH has promulgated Phase II of CORE (“Phase II CORE”) to build upon the Phase I Eligibility and Benefits (270/271) related Phase I CORE Operating Rules updated to support v5010 of the HIPAA adopted ASC X12 Technical Report Type 3 implementation guides, and add operating rules updated to support v5010 of the ASC X12 005010X212 Health Care Claim Status Request and Response (276/277) transaction. Participant hereby extends its support of CAQH CORE in the Pledge to include support of Phase II. Subject to the extension to Phase II as set forth in this addendum, the provisions of the Pledge shall continue to apply to Phase I CORE without change.

Accepted:

Acknowledged:

Participant:

Council for Affordable Quality Healthcare  
on behalf of CORE

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Robin J. Thomashauer

Title: \_\_\_\_\_

Executive Director

Date: \_\_\_\_\_

Date: \_\_\_\_\_

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c/o CAQH  
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F: 202-517-0397

**CORE™ PLEDGE  
PHASE III ADDENDUM**

This addendum to the CORE Pledge supplements the CORE Pledge (the “Pledge”) signed by CAQH and Participant, and extends Participant’s support of the Committee on Operating Rules for Information Exchange (“CORE”) to CORE Phase III. In particular, CAQH has promulgated Phase III of CORE (“Phase III CORE”) to add operating rules to support v5010 of the ASC X12 005010X221A1 Health Care Claim Payment/Advice (835) transaction and the Healthcare EFT Standards (NACHA CCD+ and the X12 v5010 835 TR3 TRN Segment). Participant hereby extends its support of CORE in the Pledge to include support of Phase III. Subject to the extension to Phase III as set forth in this addendum, the provisions of the Pledge shall continue to apply to Phase I and Phase II CORE without change.

Accepted:

Acknowledged:

Participant:

Council for Affordable Quality Healthcare  
on behalf of CORE

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Robin J. Thomashauer

Title: \_\_\_\_\_

Executive Director

Date: \_\_\_\_\_

Date: \_\_\_\_\_

***After signing this document (and ensuring your organization will be able to successfully complete CORE Certification testing in 180 days if you are seeking CORE Certification), please submit to:***

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