



Phase IV CAQH CORE 470 Connectivity Rule v4.0.0
CAQH CORE-Required Processing Mode Payload Type Tables v4.0.0

CAQH Committee on Operating Rules for Information Exchange (CORE)
Phase IV CAQH CORE 470 Connectivity Rule v4.0.0
CAQH CORE-required Processing Mode and Payload Type Tables v4.0.0 September 2015

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1 Introduction

The Phase IV CAQH CORE-required Processing Mode Table specifies the transaction processing mode requirements (i.e., Real Time and/or Batch) for each transaction addressed by the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0. (See §1 and §3.3 of the rule.)

The Phase IV CAQH CORE-required Payload Type Table specifies the comprehensive and normative set of identifiers for the CAQH CORE Envelope Metadata Payload Type Element in §4.4.2 of the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0.

CAQH CORE recognizes that as this rule becomes widely adopted and implemented in health care the experience and learning gained from implementers may indicate a need to modify either the Phase IV CAQH CORE-required Processing Mode Table or the Phase IV CAQH CORE-required Payload Type Table or both to meet emerging or new industry needs. Given this anticipated need, it is critical to develop a process and policy to enable the review and maintenance of these tables specified in the companion document to this rule.

Such review and maintenance of either the Phase IV CAQH CORE-required Processing Mode Table or the Phase IV CAQH CORE-required Payload Type Table or both will follow standard CAQH CORE processes for rule revisions. CAQH CORE will develop such a process and policy for the first review of potential revisions of these tables in accordance with CAQH CORE Guiding Principles following the approval of the Phase IV CAQH CORE Operating Rules. The first review may commence:

- One year after the passage of a Federal regulation requiring implementation of this CAQH CORE rule

Or

- When Federal regulation or Federal notices to the industry impacting the transactions addressed by this rule are published.

Substantive changes to the tables will be reviewed and approved by CAQH CORE as necessary to ensure accurate and timely revision. The impact of any such changes to any Phase IV CAQH CORE Infrastructure Rule will need to be considered during the review of potential revisions. Phase IV CAQH CORE Infrastructure Rules address other requirements for conducting the transactions addressed by this rule, such as response times for Real Time and/or Batch Processing Modes, System Availability, Companion Document flow and format, etc.

2 Processing Mode Table

The table below is a listing of the third set of ACA Section 1104 mandated transactions to which the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 applies. For each of the transactions, the following are identified in this table:

- Required and optional processing modes for the transaction

These processing mode requirements apply when a HIPAA-covered entity and its agent are exchanging the transactions addressed by this rule in conformance with the requirements specified in the Phase IV CAQH CORE Connectivity Rule v4.0.0 §4 and subsections.

These processing mode requirements also apply when a HIPAA-covered entity and its agent are exchanging the transactions addressed by the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 using any other connectivity method as permitted by the CAQH CORE Safe Harbor. (See §5)

Any updates made to the Processing Mode Table may require corresponding updates to Payload Type Table specified in §3 of this document.

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For the ASC X12N v5010 837 Claim, ASC X12N v5010 820 and ASC X12N v5010 834 transactions where Batch Mode is required and Real Time mode is optional, Payload Types are included in the Payload Type Table to support both Real Time and Batch Processing Modes recognizing that Real Time Processing Mode is not widely used for these transactions. Both the ASC X12N v5010 820 and ASC X12N v5010 834 transactions are heavily used in the Health Insurance Exchanges¹ (HIXs). The use of the ASC X12N v5010 820 and ASC X12N v5010 834 transactions by the ACA Insurance Exchanges is out of scope for this Phase IV CAQH CORE 470 Connectivity Rule v4.0.0. For the ASC X12N v5010 278 transaction where either Real Time or Batch Mode is required, Payload Types are included in the Payload Type table to support both Real Time and Batch Processing Modes.

Processing Mode Table	
Transaction	Processing Modes
X12 v5010 837I	Batch Mode Required, Real Time Optional
X12 v5010 837P	Batch Mode Required, Real Time Optional
X12 v5010 837D	Batch Mode Required, Real Time Optional
X12 v5010 278 Request and Response	Either Real Time or Batch Mode; both modes may be implemented, at least one mode must be implemented
X12 v5010 820	Batch Mode Required, Real Time Optional
X12 v5010 834	Batch Mode Required, Real Time Optional

Note: The Processing Mode Requirements in the above table are applicable only to the third set of the ACA mandated transactions as listed in the table. Processing Mode requirements for other transactions identified in the ACA are addressed in the ACA mandated Phase I, II and III CAQH CORE Operating Rules for eligibility, claim status, and ERA.

3 Enumeration of Payload Type Fields

The Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 requires that the ASC X12 payload must be wrapped with a SOAP envelope, which contains metadata about the transaction payload. (See §4.4 of the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0.) This metadata includes a Payload Type element. The required coded value set for this metadata element when an ASC X12 payload is being exchanged is enumerated in the Payload Type Table below. The enumerated payload types support the processing modes specified in the Processing Mode Table above as well as the messaging interactions for the transactions as described in §7.3 of the rule.)

Any updates made to the Payload Type Table may require corresponding updates to the Processing Mode Table.

The enumeration of payload types in this table are normative and comprehensive, hence this set of enumerated values goes beyond the set of transactions addressed by the Phase IV CAQH CORE 470 Connectivity Operating Rule v4.0.0.

For the ASC X12N v5010 837 Claim, ASC X12N v5010 820 and ASC X12N v5010 834 transactions where Batch Mode is required and Real Time Mode is optional, Payload Types are included in the Payload Type

Table to support both Real Time and Batch Processing Modes recognizing that Real Time Processing Mode is not widely used for these transactions. For the ASC X12N v5010 278 transaction where either Real Time or Batch

¹ 45 CFR §155.20 Definitions. *Exchange* means a governmental agency or non-profit entity that meets the applicable standards of this part and makes QHPs available to qualified individuals and/or qualified employers. Unless otherwise identified, this term includes an Exchange serving the individual market for qualified individuals and a SHOP serving the small group market for qualified employers, regardless of whether the Exchange is established and operated by a State (including a regional Exchange or subsidiary Exchange) or by HHS.

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Mode is required, Payload Types are included in the Payload Type table to support both Real Time and Batch Processing Modes.

The payload types for the HIPAA mandated ASC X12N v5010 transactions may be updated when Federal regulation or Federal notices to the industry impacting the transactions addressed by this rule are published.

Note: The table below includes payload type values for all HIPAA mandated ASC X12N v5010 transactions, including those transactions that are addressed in the Phase I and II CAQH CORE Operating Rules for eligibility, claim status and the Phase III CAQH CORE Operating Rules for ERA.

- HIPAA-covered entities and their agents are required to use this Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 for the exchange of claims, prior authorization (including other patient events as identified in the ASC X12N v5010 278 Technical Report Type 3), benefit enrollment and maintenance, and health plan premium payment transactions, subject to the Safe Harbor provisions of the Phase IV CAQH CORE 470 Connectivity Rule v4.0.0.
- HIPAA-covered entities and their agents may also use this Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 for the exchange of eligibility, claim status and ERA transactions in accordance with the Safe Harbor provision of the Phase II CAQH CORE 270 Connectivity Rule v2.2.0. However, this does not permit any HIPAA-covered entity and its agent to discontinue support for the exchange of the eligibility, claim status and ERA transactions as required in the Phase II CAQH CORE 270 Connectivity Rule v2.2.0.
- HIPAA-covered entities and their agents may also use this Phase IV CAQH CORE 470 Connectivity Rule v4.0.0 for the exchange of ASC X12 transactions not mandated by HIPAA.

3.1 Payload Types for Real Time Processing Mode

For Real Time requests, *ProcessingMode* must be set to *RealTime*, and *PayloadType* must be set to the following values for Request, Response and Errors:

Payloads to Support ASC X12 Administrative Transactions for Real Time			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.1.1 Real Time – See Section §7.3.1			
Health Care Eligibility Benefit Inquiry and Response	Y	X12_270_Request_005010X279A1	X12_271_Response_005010X279A1 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Claim Status Request and Response	Y	X12_276_Request_005010X212	X12_277_Response_005010X212 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Services Review - Request for Review and Response	Y	X12_278_Request_005010X217E1_2	X12_278_Response_005010X217E1_2 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Services Review - Inquiry & Response	N	X12_278_Request_005010X215	X12_278_Response_005010X215 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Services Review - Notification & Announcement	N	X12_278_Request_005010X216E2	X12_278_Response_005010X216E2 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Payroll Deducted and Other Group Premium Payment for Insurance Products	Y	X12_820_Request_005010X218A1	X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Benefit Enrollment and Maintenance	Y	X12_834_Request_005010X220A1	X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1

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Payloads to Support ASC X12 Administrative Transactions for Real Time			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
Health Care Claim: Institutional	Y	X12_837_Request_005010X223A1_2	X12_277CA_Response_005010X214E1_2 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Claim: Professional	Y	X12_837_Request_005010X222A1	X12_277CA_Response_005010X214E1_2 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Claim: Dental	Y	X12_837_Request_005010X224A1_2	X12_277CA_Response_005010X214E1_2 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
3.1.2 Real Time Adjudication – See Section §7.3.1			
Health Care Claim: Institutional	N	X12_837_Request_005010X223A1_2	X12_835_Response_005010X221A1 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Claim: Professional	N	X12_837_Request_005010X222A1	X12_835_Response_005010X221A1 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1
Health Care Claim: Dental	N	X12_837_Request_005010X224A1_2	X12_835_Response_005010X221A1 X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1

Payloads to Support All ASC X12 Administrative Transactions for Real Time			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.1.3 Acknowledgements			
TA1 Interchange Acknowledgement ²	N		X12_TA1_Response_00501X231A1
Implementation Acknowledgement	N		X12_999_Response_005010X231A1
3.1.4 Errors			
CORE Envelope Error	N/A		CoreEnvelopeError

² The use of the TA1 Interchange Acknowledgement is not specified in a separate ASC X12 Implementation Specification. Reference Appendix C EDI Control Directory of the ASC X12C 005010X231A1 Implementation Acknowledgement for Health Care Insurance (999) Technical Report Type 3 for implementation guidance of the TA1 with v5010 ASC X12 administrative transactions.

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3.2 Payload Types for Batch Processing Mode

For Batch requests, *ProcessingMode* must be set to *Batch*, and *PayloadType* must be set to the following values for Request, Response and Errors.

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.1 Batch Payload Submission and Subsequent Batch Response Pick Up - See §7.3.2.1 Batch Interaction with Specific Payload Type			
Health Care Eligibility Benefit Inquiry and Response	Y	Batch Submission: X12_270_Request_005010X279A1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_005010_Request_Batch_Results_271	Results Retrieval Response: X12_271_Response_005010X279A1 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X23A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Claim Status Request and Response	Y	Batch Submission: X12_276_Request_005010X212	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_005010_Request_Batch_Results_277	Results Retrieval Response: X12_277_Response_005010X212 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Services Review - Request for Review and Response	Y	Batch Submission: X12_278_Request_005010X217E1_2	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_278_Request_Batch_Results_005010X217E1_2	Results Retrieval Response: X12_278_Response_005010X217E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Services Review - Inquiry & Response	N	Batch Submission: X12_278_Request_005010X215E1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_278_Request_Batch_Results_005010X215E1_2	Results Retrieval Response: X12_278_Response_005010X215E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Services Review - Notification & Announcement	N	Batch Submission: X12_278_Request_005010X216E1_2	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile

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Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
		Results Retrieval Request: X12_278_Request_Batch_Results_005010X216E1_2	Results Retrieval Response: X12_278_Response_005010X216E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Claim: Institutional	Y	Batch Submission: X12_837_Request_005010X223A1_2	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_277CA_Request_Batch_Results_005010X214E1_2	Results Retrieval Response: X12_277CA_Response_005010X214E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Claim: Professional	Y	Batch Submission: X12_837_Request_005010X222A1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_277CA_Request_Batch_Results_005010X214E1_2	Results Retrieval Response: X12_277CA_Response_005010X214E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Claim: Dental	Y	Batch Submission: X12_837_Request_005010X224A1_2	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
		Results Retrieval Request: X12_277CA_Request_Batch_Results_005010X214E1_2	Results Retrieval Response: X12_277CA_Response_005010X214E1_2 X12_005010_Response_NoBatchResultsFile
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.2 Batch Payload Retrieval (Pick Up) - See §7.3.3.2. Generic Pull			
Health Care Claim Acknowledgement	N	Retrieval Request: X12_277CA_Request_005010X214E1_2	Retrieval Response: X12_277CA_Response_005010X214E1_2
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Health Care Claim Payment/Advice	Y	Retrieval Request: X12_835_Request_005010X221A1	Retrieval Response: X12_835_Response_005010X221A1
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
	N	Retrieval Request: X12_277_Request_005010X228E1	Retrieval Response: X12_277_Response_005010X228E1

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Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
Health Care Claim Pending Status Information		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Payroll Deducted and Other Group Premium Payment for Insurance Products	Y	Retrieval Request: X12_820_Request_005010X218A1	Retrieval Response: X12_820_Response_005010X218A1
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived
Benefit Enrollment and Maintenance	Y	Retrieval Request: X12_834_Request_005010X220A1	Retrieval Response: X12_834_Response_005010X220A1
		Batch Results Acknowledgment Submission: X12_999_SubmissionRequest_005010X231A1 X12_TA1_SubmissionRequest_005010X231A1	Batch Results Acknowledgement Response: X12_Response_ConfirmReceiptReceived

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.3 Batch Payload Submission - See §7.3.3.1. Generic Push			
Health Care Claim Request for Additional Information	N	Batch Submission (step 1): X12_277_Request_005010X213E1_2	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Submission (step 2): X12_275_Request_005010X210E1	Acknowledgement: X12_TA1_Response_00501X231A or X12_999_Response_005010X231A1
Request for Information in Support of a Disability Claim	N	Batch Submission (Step 1): X12_277_Request_005010X227	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Submission (Step 2): X12_275_Request_005010X210E1	Acknowledgement: X12_TA1_Response_00501X231A or X12_999_Response_005010X231A1

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.4 Batch Payload Submission - See §7.3.3.1. Generic Push			
Payroll Deducted and Other Group Premium Payment for Insurance Products	Y	Batch Submission: X12_820_Request_005010X218A1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
Health Insurance Exchange Related Payments	N	Batch Submission: X12_820_Request_005010X306	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
Benefit Enrollment and Maintenance	Y	Batch Submission: X12_834_Request_005010X220A1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
Health Care Benefit Coordination Verification	N	Batch Submission: X12_269_Request_005010X187	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile

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Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
Health Care Predetermination – Professional	N	Batch Submission: X12_837_Request_005010X291	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
Health Care Predetermination – Institutional	N	Batch Submission: X12_837_Request_005010X292	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile
Health Care Service: Data Reporting	N	Batch Submission: X12_837_Request_005010X225A1_2E1	Batch Receipt Confirmation: X12_BatchReceiptConfirmation
		Batch Acknowledgment Retrieval Request: X12_999_RetrievalRequest_005010X231A1	Batch Acknowledgement Responses: X12_999_Response_005010X231A1 X12_TA1_Response_005010X231A1 X12_005010_Response_NoBatchAckFile

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.5 Mixed/Non-Payload Specific Batch - See §7.3.2.2 Batch Interaction with Mixed Payload Types			
Batch Submission (mixed payload types)	N/A	X12_005010_Request_BatchSubmissionMixed	X12_005010_Response_BatchSubmissionMixed
Batch Results Retrieval (mixed payload types)	N/A	X12_005010_Request_BatchResultsMixed	X12_005010_Response_BatchResultsMixed
No Batch Results Available	N/A		X12_005010_Response_NoBatchResultsFile

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
3.2.6 Acknowledgements			
Batch Receipt Confirmation Response	N		X12_BatchReceiptConfirmation
TA1 Interchange Acknowledgement Submission ³	N	X12_TA1_SubmissionRequest_00501X231A1	X12_Response_ConfirmReceiptReceived
Retrieval of TA1 ⁴	N	X12_TA1_RetrievalRequest_00501X231A1	X12_TA1_Response_00501X231A1
Implementation Acknowledgement Submission	N	X12_999_SubmissionRequest_005010X231A1	X12_Response_ConfirmReceiptReceived
Implementation Acknowledgement Retrieval	N	X12_999_RetrievalRequest_005010X231A1	X12_999_Response_005010X231A1
Application Reporting for Insurance	N	X12_824_Request_005010X186	X12_824_Response_005010X186
General Acknowledgements Pick Up	N	X12_005010_Request_Acks	X12_005010_Response_Acks

³ Ibid.

⁴ Ibid.

**CAQH Committee on Operating Rules for Information Exchange (CORE)
Phase IV CAQH CORE 470 Connectivity Rule v4.0.0
CAQH CORE-required Processing Mode and Payload Type Tables v4.0.0 September 2015**

Payloads to Support ASC X12 Administrative Transactions for Batch			
Transaction Name	HIPAA Mandated	Payload Type Value (Normative/Comprehensive)	
		Request	Response
No Acknowledgement File	N/A		X12_005010_Response_NoBatchAckFile
Payload Receipt Confirmation	N/A	X12_Request_ConfirmReceipt	X12_Response_ConfirmReceiptReceived
3.2.7 Errors			
CORE Envelope Error	N/A		CoreEnvelopeError