



PROVIEW™

CAQH ProView®

**SanctionsTrack Module
User Guide**

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1. Introduction

The SanctionsTrack Module seeks to expand and enhance the value of CAQH ProView for participating organizations. The module is designed to serve as a conduit through which provider sanctions and licensure information is collected and passed directly from NCQA-approved sources to participating organizations, thereby eliminating the need for organizations to retrieve this information on their own through separate processes. The SanctionsTrack Module is intended to eliminate the redundant processes employed by organizations to collect sanctions information so that resources can be refocused on evaluation of the data.

1.1 Audience

This guide is a reference tool to be used by organizations integrating the SanctionsTrack Module into their operational processes. It is also intended as a companion guide to the *CAQH ProView Participating Organization User Guide*.

1.2 Contact Support for the SanctionsTrack Module

Questions or technical support for the SanctionsTrack Module should be addressed to the CAQH Support Center at accounthelp@proview.cagh.org.

1.3 Guide Feedback and Usability Suggestions

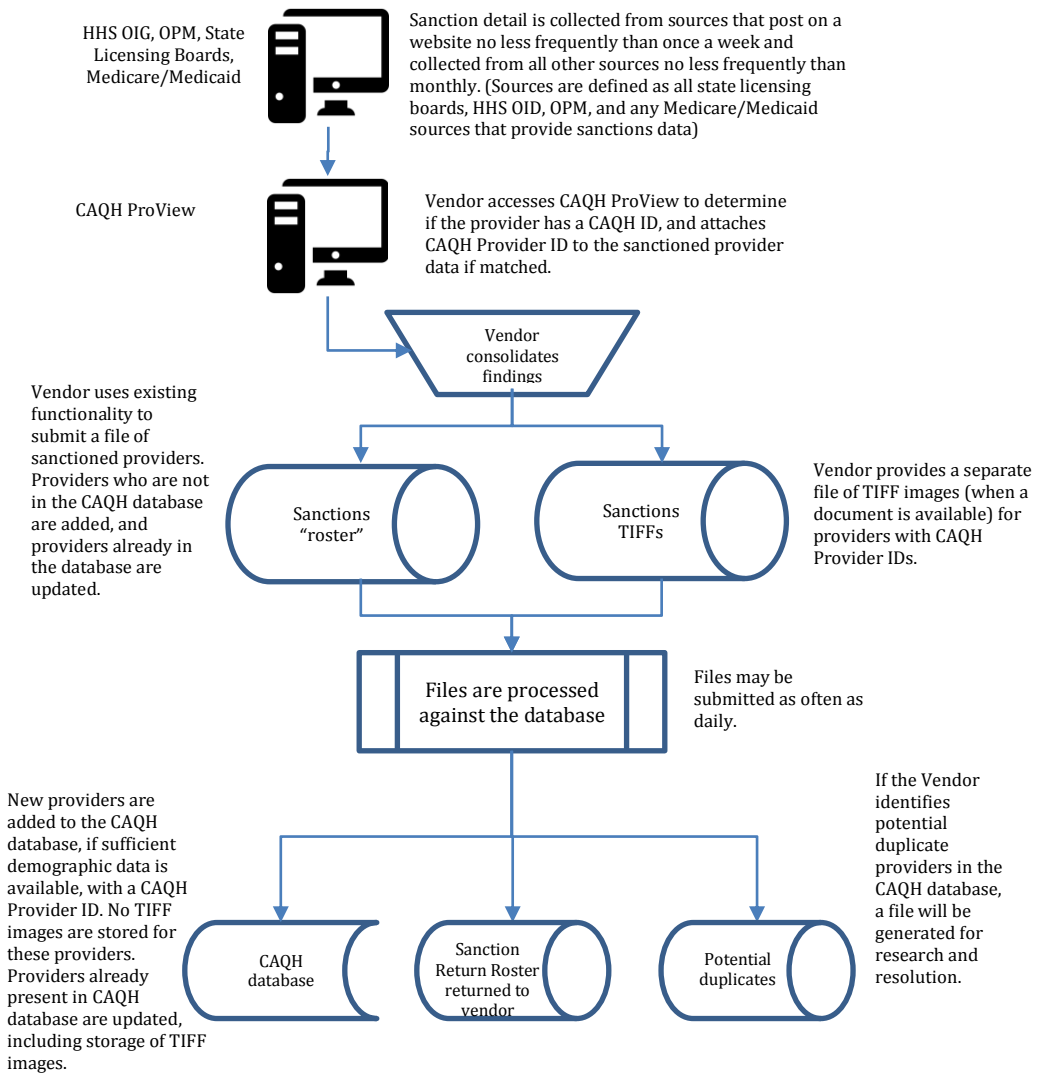
Feedback and suggestions associated with this guide should be addressed to accounthelp@proview.cagh.org with the title “SanctionsTrack User Guide Feedback”.

2. Overview

Healthcare organizations spend substantial time and energy monitoring sanctions internally; sanctions are reviewed during credentialing events, between credentialing events (ongoing monitoring) and by Fraud & Abuse departments for certain types of claims payments. Due to the number and variety of sanction sources (e.g., state licensing boards, OIG/OPM reports, and other Medicaid/Medicare sources) and the format and breadth of data made available by these sources, the current sanction monitoring process for most organizations is very manual. The subsequent process of matching a disciplinary action to its corresponding provider within an organization's network or group is also manual, as information typically required for automated matching processes is often missing in the disciplinary action. And, sanction documents must be obtained and information must be manually reviewed and evaluated to identify critical events that require additional detail or action.

Participating organizations can streamline credentialing processes by eliminating redundant data gathering and follow-up research for sanction notices. By centralizing this function within CAQH ProView, participating organizations will be able to focus their resources on review and evaluation of sanctions, instead of collection, provider matching and data entry.

2.1 CAQH Sanctions Process Flow



2.2 Confirming and Monitoring Sanction Sources

Confirmation, monitoring and reporting process for sanctions are outlined below:

- Scope includes sanctions and/or disciplinary actions against providers by all state licensing boards, the Office of Inspector General, Office of Personnel Management and Medicare/Medicaid sources. The mode of communication and frequency of monitoring for each state entity are described in Appendix B – Sanction Sources.
- Sanction sources are surveyed monthly to confirm they are still operating and supplying information as expected.
- Sanction sources are monitored according to the schedule in Appendix B – Sanction Sources (and reconfirmed monthly as noted above), and information on any identified sanction is collected.
- Board order or other supporting documentation for any provider in the CAQH universe (i.e. on at least one participating organization’s roster) is requested from the issuing body, and an electronic image in PDF format is created. A sanction record (i.e., transcribed data record) is also created.

2.3 Matching Sanction Information to Providers

After receiving a sanction report, CAQH ProView is queried and any matching provider records are identified.

- Sanctions that pertain to providers not in CAQH ProView (i.e., not on any participating organization’s roster) are also stored, when sufficient demographic information is available, by creating a corresponding provider record in system with a CAQH Provider ID. When insufficient demographic information is available to properly identify a provider, the sanctions information will be provided through a separate report. It is important to note that delegated providers may not be on any participating organization rosters.
- Images of board orders or other documentation for providers not already in the CAQH universe (i.e. not on any participating organization’s roster) will be collected for an additional charge (plus pass-through costs from the issuer) per instance. See Appendix E - Documentation Special Requests for additional information.

2.4 Reporting Sanction Information

Sanctions information is available through CAQH ProView.

- Only sanctions identified after the effective date, i.e. when the service goes live, are included in this service.
- Sanction records and corresponding board order/documentation images are available for review through CAQH ProView through the Provider Search function and through Standard or Custom Extracts. Images will not be available for providers who are not on any organization’s roster (‘Non-Roster Providers’), or where the board does not publish a board order document. Sanction records for providers with insufficient demographic information to properly identify them will be provided through a separate report.

3. Accessing Sanctions Information

Participating Organizations that have contracted for the SanctionsTrack Module will be able to access sanctions information via a standard or custom extract. Refer to the *CAQH ProView Participating Organization User Guide* for details on how to schedule and manage sanction standard and custom extract files. Additional details for each type of extract file are provided below.

3.1 Sanction Standard Extract

The sanction standard extract is a separate, companion extract to CAQH ProView's standard extract that Participating Organizations receive. However, unlike the standard extract that only includes providers on the Participating Organization's roster, the sanction standard extract includes sanction information added to CAQH ProView, since the last extract cycle, for all providers including providers who are not on the Participating Organization's roster.

- Sanctions standard extract can be scheduled to run on a recurring basis by Participating Organizations. The standard extract will contain additions and updates to sanctions since the last standard extract. When new sanctions are added or updates are made to existing sanctions for a provider, they will be reported on the next scheduled standard extract run for a Participating Organization.
- The sanctions extract will be delivered in a zipped pipe-delimited text file and multiple .pdf files. There will be a .pdf file for each sanction record that has a corresponding image of a board order.
- See the *Sanction Extract Layout* below for the specific field specifications.

3.2 Sanction Custom Extract

The sanction custom extract is an ad hoc report based on the most current sanction data CAQH ProView has for a provider.

- The sanctions custom extract will be generated based on the criteria provided by a Participating Organization.
- The sanctions custom extract can be scheduled for submitted for execution immediately.
- Sanctions custom extracts can be limited to specific plan provider IDs or CAQH provider IDs.
- A major difference in the purpose between the sanctions standard and sanctions custom extracts is that the sanctions standard extracts are intended to retrieve all changes to provider data over a given period of time, whereas the sanction custom extracts are intended to deliver a full view of a given provider's most current attestation and data profile.
- The sanctions extract will be delivered in a zipped pipe-delimited text file and multiple .pdf files. There will be a .pdf file for each sanction record that has a corresponding image of a board order.
- See the *Sanction Extract Layout* below for the specific field specifications.

3.3 Sanction Extract Layout

The following table outlines the field names for the data fields included in the sanction standard and custom extract files, if available:

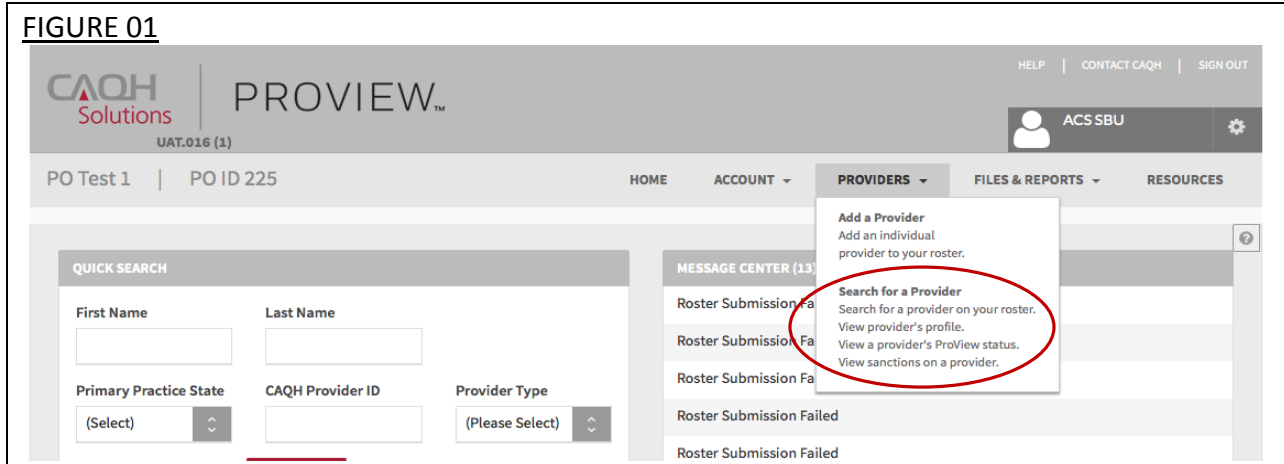
Field	Field Name	Field Description	Length	Format
1	CAQHProviderID	CAQH Provider ID	8-10 numeric	9999999999
2	FirstName	First Name	1-30 characters	
3	MiddleName	Middle Name	1-25 characters	
4	LastName	Last Name	1-50 characters	
5	Suffix	Suffix	1-10 characters	
6	Gender	Gender	1 character	M or F
7	Address	Primary Credentialing Corresp. Address or Address from Sanction Issuer	1-100 characters	
8	Address2	Primary Credentialing Corresp. Address2 or Address2 from Sanction Issuer	1-100 characters	
9	City	Primary Credentialing Corresp. City or City from Sanction Issuer	1-50 characters	
10	State	Primary Credentialing Corresp. State or State from Sanction Issuer	2 characters	Standard State Abbreviations
11	Zip	Primary Credentialing Corresp. Zip Code or Zip Code from Sanction Issuer	5 numeric	99999
12	ExtZip	Practice/Credentialing Corresp. Extended Zip Code Or Zip Code included from Sanction Issuer	4 numeric	9999
13	SSN4	Last four digits of Social Security Number	4 numeric	9999
14	Birthdate	Birth Date	10 characters	mm/dd/yyyy
15	NPI	NPI Number	10 characters	9999999999
16	Status	Current System Status	1-30 characters	
17	StatusDate	Last Status change Date	10 characters	mm/dd/yyyy
18	PlanProviderID	Organization's Provider ID if provider on roster (null if run by parent plan)	50 characters	
19	PlanID	Participating organization's identification number or parent PlanID if run by the parent plan	3 numeric	999
20	SanctionLicenseNumber	State License Number on the Sanction	1-17 characters	
21	SanctionProviderType	ProviderType on the sanction	4 Characters	
22	SanctionBoardName	Name of Sanctioning Board	200 characters	
23	SanctionReportingState	State of the Reporting Board	2 characters	AZ
24	SanctionPublishDate	Date Sanction published by the issuing board	10 characters	mm/dd/yyyy
25	SanctionImageName	File Name of the PDF image	100 characters	alpha-numeric
26	SanctionCode*	A code indicating the type of Sanction	5 digits	99999
27	RosterFlag	Indicator if provider is on your roster or one of your region rosters	1 character	Y or N
28	SanctionEffectiveDate	Sanction Effective Date	10 characters	mm/dd/yyyy
29	SanctionPostedDate	Date posted to CAQH ProView	10 characters	mm/dd/yyyy

* See Appendix B for a listing of the Sanction Codes

4. Provider Search

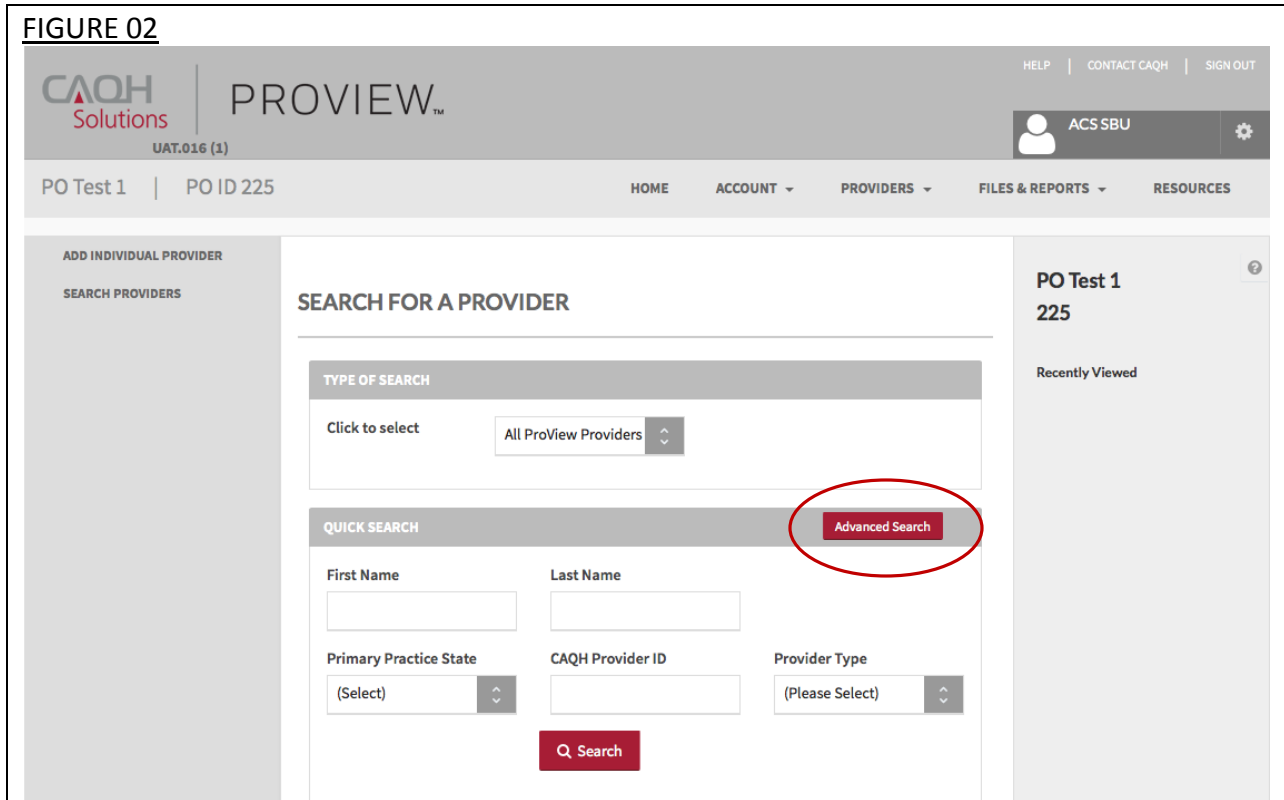
Participating Organizations that have contracted for the SanctionsTrack Module will also be able to access sanctions information via the Provider Search function. Select “Search for a Provider” from the “Providers” drop-down menu (see Figure 01).

FIGURE 01



The “Search for a Provider” screen will display (see Figure 02). Select “Advanced Search” to search for sanctions on a provider.

FIGURE 02



The “Advanced Search” screen will allow you to choose either to search the entire CAQH ProView system or only your rostered provider population (see Figure 03). It is not required that all fields contain data in order to run the search.

FIGURE 03

At the bottom of the “Advanced Search” screen, search criteria specific to Sanctions is available (see Figure 04).

FIGURE 04

Options for performing a sanction search include the following:

- Sanction Date – choose either:
 - Sanction Published Date (date the board published the sanction)
 - Sanction Effective Date (date the sanction is effective)
 - Sanction Posted Date (date the sanction was posted to CAQH ProView)
- Date – choose either of the following, returns published or posted based on previous field selection:
 - Select “Predetermined Date Range” to specify one of the following:
 - Last 24 hours
 - Prior 7 days
 - Prior 30 days
 - Select “Customized Date Range” to search within a specific date range.
 - Enter “From” and “To” dates (allows you to pull today’s data if loaded)
- Practice State
- Sanction Code – see appendix C for a list of the Sanction Codes

Once you have selected your search criteria, click on “Search”. The “Provider Search Results” screen will present with any provider with sanctions data that matches your search criteria. Select the provider name to view the sanctions information (see Figure 05).

FIGURE 05

The screenshot displays the CAQH ProView interface. At the top, there is a header with the CAQH Solutions logo, the text 'PROVIEW™', and navigation links for HELP, CONTACT CAQH, and SIGN OUT. Below the header, there is a user profile section for 'ACS SBU' and a settings gear icon. The main navigation bar includes 'PO Test 1 | PO ID 225' and menu items for HOME, ACCOUNT, PROVIDERS, FILES & REPORTS, and RESOURCES. The central content area is titled 'PROVIDER SEARCH RESULTS' and features a table with the following data:

Provider Name	Birth Date	City,State	Roster Status	Provider Status
Harrison, Charlene	02/12/1949	Baltimore,MD	Active	Re-Attestation

Below the table, there is a pagination control showing '11 of 11 pages (103 items)' and a 'Download to Excel' button. A 'Refine Search' link is also present. On the right side of the page, there is a sidebar for 'PO Test 1 225' with a 'Recently Viewed' section listing 'Smith, Paul'.

4.1 Viewing Sanctions Details

Clicking a name on the Provider Search Results page returns the data available on that provider.

- The Provider's information includes, if available:
 - Name
 - CAQH Provider ID
 - Birth Date
 - Social Security Number
 - Specialties
 - Provider Status
 - Last Attestation Date
 - Last Login Date
 - Primary Practice contact information
 - Plan Provider ID
 - Last Recredential Date
 - Next Recredential Date
 - Affiliation Status
 - Delegation Status
 - UPIN Number
 - Sanctions Details (see Figure 06 below)
 - Sanction Publish Date – the date the issuing board published the sanction
 - Sanction Effective Date – the date the sanction is effective
 - Sanction Post Date – the date the sanction was posted to CAQH ProView
 - Sanction Reporting State – state in which the issuing board is located
 - Sanction Board Name – issuing body of the sanction
 - Sanction Provider Type – the type of provider against which the sanction was issued
 - Sanction License Number – provider's license number against which the sanction was issued
 - Sanction Code - the type of sanction issued. (see appendix C for a description of the sanction codes)
 - Description of sanction (s)
 - Sanction Image – if applicable, each image of the corresponding board order is accessible via hyperlink located on the right side.

FIGURE 06

Sanctions

State	VA	Effective 2/1/2015 12:00:00 AM
Board Name	American Academy of Pediatricians	Published 2/1/2015 12:00:00 AM
Provider Type		Posted
License Number	LICENSE90	
Sanction Code	112aS	Download Sanctions Documentation >
Description	CME, civil penalty, allegations, withdrawals, dismissals, disputes, settlements, amendments, letters of concern/admonition, reprimand w/and w/o/terms/conditions, actions reported w/o detail, late payment of fees, nonpayment of taxes, unpaid loans.	

5. Documentation Special Requests

5.1 Background

Through the course of obtaining sanction information, sanctions may be collected for providers who are not on any Participating Organization's roster ('Non-Roster Provider') or it may not be possible to uniquely identify the provider. In these cases, an image of the corresponding board order will not be obtained.

Participating Organizations may have the need to obtain a copy of this board order in either of these two instances. CAQH will be able to provide a copy of the board order for a nominal fee plus any pass-through costs from the issuing body.

Note: Images of board orders will be collected and distributed for all providers on at least one participating organization's roster, if available, as part of the base Sanctions Module, and with no additional charges.

5.2 Procedure

- Participating Organization determines the need for a board order image and completes the CAQH Documentation Special Request spreadsheet, supplying as many of the requested data fields as possible. (See Appendix E – Documentation Special Request)
- Participating organization emails the spreadsheet to accounthelp@proview.caqh.org.
- CAQH will distribute the images, once they are obtained.

6. Appendix A: Definitions

<i>Adverse Actions:</i>	Formal disciplinary actions taken by the state boards against the provider's license to practice medicine.
<i>Annulment:</i>	Retrospective and prospective cancellation of the authorization to practice.
<i>Board Image:</i>	Copy of actual document issued by board describing sanction/disciplinary action
<i>Conditions:</i>	Term used to indicate restrictions or requirements placed on the licensee/license.
<i>Consent Order:</i>	An order of the board and an agreement between the board and the provider regarding the annulment, revocation, or suspension of the authorization to practice or the conditions and/or limitations placed on the authorization to practice. (A method for resolving disputes through informal procedures).
<i>Denial:</i>	Final decision denying an application for practice authorization or a motion/request for reconsideration/modification of a previous board action.
<i>Emergency Action:</i>	An emergency action by the board was taken.
<i>N/A:</i>	Information not available or not applicable.
<i>Non-Roster Provider:</i>	Provider who is not on any participating organization's roster. The provider was added to the CAQH system as a result of having a sanction.
<i>Not Added to CAQH:</i>	Provider who did not match an existing CAQH Provider and did not have enough identifying information to add them to the system. These are distributed via the Providers Missing Demographic Data Report.
<i>Office of Inspector General (OIG):</i>	OIG makes available on a monthly basis, a list of sanctioned providers reported by Medicare/Medicaid, the military and other government entities.

Office of Personnel Management (OPM):	OPM makes available on a monthly basis, a list of debarred providers. Debarment means a person or organization has been excluded or prohibited by the General Services Administration (GSA) from participating in any Federal executive branch procurement or non-procurement program.
Provider Type:	The CAQH provider types.
Revocation:	Cancellation of the authorization to practice.
Roster Provider:	Provider who is on at least one Participating Organization’s roster.
Sanction Codes:	The adverse action code applied to the action taken against the provider. See the separate table of codes.
Stayed Suspension:	Stayed means that the licenses remain active until the medical board rules on the issue; the providers appealed the decision to suspend their license – that suspension was stopped or stayed until the board hears the appeal.
Summary Suspension:	Immediate temporary withdrawal of the authorization to practice pending prompt commencement and determination of further proceedings. (Ordered when the board finds the public health, safety, or welfare requires emergency action.)
Suspension:	Temporary withdrawal of the authorization to practice.
Temporary/Dated License:	License to practice medicine for a specific period of time; often accompanied by conditions contained in a consent order; may be issued as an element of a board or consent order or subsequent to the expiration of a previously issued temporary license.
Unencumbered:	A license that is not restricted or limited in anyway, including, but not limited to: being placed under probation, suspension, or revocation.; being subject to conditions such as, monitoring, supervision or periodic reporting; being subject to restrictions on the nature of scope of practice; and being subject to public or private censure.
Voluntary Dismissal:	Board action dismissing a contested case.
Voluntary Surrender:	The practitioner’s relinquishing of the authorization to practice pending an investigation or in lieu of disciplinary action.

7. Appendix B: Sanction Sources



SanctionsTrack
Reference List V1 2.x

8. Appendix C: Sanction Codes

License Codes	Description
OTHER	Fallout where existing codes do not apply.
CandD	Cease and Desist
NONDISC	Non-disciplinary in Nature
111L	License Revocation/Suspension/Surrender/Termination/Inactive/Annulled/Licensee Deceased/License Retired/Board Lapsed
112aS	CME, civil penalty/or fine, allegations and/or complaints, disputes, settlements, letters of concern, reprimand, actions reported w/o detail, late payment of fees, nonpayment of taxes, unpaid loans other than reported by OIG/OPM, letters of admonition, accusation filed, agreement for corrective action, amended accusation filed, amendments and/or modifications, censure or Decree of Censure, Notice of Hearing, practicing with an expired license, statement of charges, warning, warning/ or fine, charges/allegations withdrawn, community service/ or hours, evaluation/or medical jurisprudence examination
112bS	Recordkeeping/administration issues, advertising
112cS	Rx related issues
112dS	Quality of Care issues (dishonorable, unethical, unprofessional conduct, probation/restriction, competence, conduct), Active but cannot practice, board refuse to renew, conditions, encumbered, limited license, monitoring, practice restrictions, reinstatement with conditions, stayed suspensions, terms imposed-other
112eS	Forgery/Fraud

License Reinstatement Code	Description
113R	Reinstatement License with NO Restrictions, lifted

State Medicaid Code	Description
SME	State Medicaid Exclusion

State Medicaid Reinstatement Code	Description
SMR	State Medicaid Re-Instatement

OIG Sanction Codes	Description
1128	Exclusion from all Federal Health Care Programs
1128a1	Program Related Conviction
1128a2	Conviction related to patient abuse or neglect
1128Aa	Imposition of a civil money penalty or assessment
1128a3	Felony Health Care Fraud Conviction
1128a4	Felony Controlled Substance Conviction
1128b1	Conviction relating to fraud
1128b1A	Misdemeanor conviction relating to health care fraud.
1128b1B	Conviction relating to fraud in non-health care programs.
1128b2	Conviction relating to obstruction of an investigation
1128b3	Conviction relating to controlled substances
1128b4	License revocation or suspension
1128b5	Suspension or exclusion under a Federal or State health care program
1128b6	Claims for excessive charges, unnecessary services or services which fail to meet professionally recognized standards of health care, or failure of an HMO to furnish medically necessary services.
1128b7	Fraud, kickbacks and other prohibited activities
1128b8	Entities owned or controlled by a sanctioned individual
1128b8A	Entities controlled by a family or household member of an excluded individual and where there has been a transfer of ownership/control.
1128b9	Failure to disclose required information
1128b10	Failure to supply requested information on subcontractors or suppliers
1128b11	Failure to supply payment information
1128b12	Failure to grant immediate access.
1128b13	Failure to take corrective action.
1128b14	Default on health education loan or scholarship obligations.
1128b15	Individuals controlling a sanctioned entity.
1156	Failure to meet statutory obligations of practitioners and providers to provide medically necessary services meeting professionally recognized standards of health care (Peer Review Organization (PRO) findings).
1128c3a	Conviction of two mandatory exclusion offenses.
1128c3b	Conviction on 3 or more occasions of mandatory exclusion offenses.
1892	Failure to enter an agreement to repay Health Education Assistance Loans (HEAL).
1156b	PRO recommendation
BRCH SA	Breach of SA-Breach of Settlement Agreement

OIG Reinstatement Codes	Description
1128R	Reinstatement from exclusion from all Federal health care programs.
1128a1R	Reinstatement from program related conviction
1128a2R	Reinstatement from conviction related to patient abuse or neglect
1128AaR	Reinstatement from imposition of a civil money penalty or assessment
1128a3R	Reinstatement from felony health care fraud conviction
1128a4R	Reinstatement from felony controlled substance conviction
1128b1R	Reinstatement from conviction relating to fraud
1128b1AR	Reinstatement from misdemeanor conviction relating to health care fraud.
1128b1BR	Reinstatement from conviction relating to fraud in non-health care programs.
1128b2R	Reinstatement from conviction relating to obstruction of an investigation
1128b3R	Reinstatement from conviction relating to controlled substances
1128b4R	License reinstatement or removal of suspension
1128b5R	Reinstatement from suspension or exclusion under a Federal or State health care program
1128b6R	Reinstatement from claims for excessive charges, unnecessary services or services which fail to meet professionally recognized standards of health care, or failure of an HMO to furnish medically necessary services.
1128b7R	Reinstatement from fraud, kickbacks and other prohibited activities
1128b8R	Reinstatement from entities owned or controlled by a sanctioned individual
1128b8AR	Reinstatement from entities controlled by a family or household member of an excluded individual and where there has been a transfer of ownership/control.
1128b9R	Reinstatement from failure to disclose required information
1128b10R	Reinstatement from failure to supply requested information on subcontractors or suppliers
1128b11R	Reinstatement from failure to supply payment information
1128b12R	Reinstatement from failure to grant immediate access.
1128b13R	Reinstatement from failure to take corrective action.
1128b14R	Reinstatement from default on health education loan or scholarship obligations.
1128b15R	Reinstatement from individuals controlling a sanctioned entity.
1156R	Reinstatement from failure to meet statutory obligations of practitioners and providers to provide medically necessary services meeting professionally recognized standards of health care (Peer Review Organization (PRO) findings).
1128c3aR	Reinstatement from conviction of two mandatory exclusion offenses.
1128c3bR	Reinstatement from conviction on 3 or more occasions of mandatory exclusion offenses.

OIG Reinstatement Codes	Description
1892R	Reinstatement from failure to enter an agreement to repay Health Education Assistance Loans (HEAL).
1156bR	Reinstatement from PRO recommendation
BRCH SAR	Reinstatement from Breach of SA-Breach of Settlement Agreement

OPM Sanction Codes	Description
b5	OPM Debar Date/Term Date - License Revocation/Suspension/Surrender
8902ab1	Program Related Conviction
8902ab2	Conviction relating to patient abuse or neglect
8902ab3	Conviction relating to obstruction of investigation
8902ab4	Conviction involving controlled substances
8902ab5	Other agency sanctions
8902ac1A	Loss of License
8902ac1B	Provider surrendered license
8902ac2	Entities owned or controlled by sanctioned individual
8902ac3	Individuals owning or controlling sanctioned entity
8902ac4	Claims involving overcharges for services or supplies
8902ac4a	Claims for unnecessary services or supplies
8902ac4b	Claims for poor quality services or supplies
8902ad1A	Claims for services or supplies not furnished
8902ad1B	Claims violating applicable charge limits
8902ad1C	Claims for services or supplies post debarment
8902Ad2	False, deceptive or wrongful claims practices
8902ad3	Failure to disclose required information

OPM Reinstatement Codes	Description
b5R	Reinstatement from OPM Debar Date/Term Date - License Revocation/Suspension/Surrender
8902ab1R	Reinstatement from program related conviction
8902ab2R	Reinstatement from conviction relating to patient abuse or neglect
8902ab3R	Reinstatement from conviction relating to obstruction of investigation
8902ab4R	Reinstatement from conviction involving controlled substances
8902ab5R	Reinstatement from other agency sanctions
8902ac1AR	License Reinstatement
8902ac1BR	Provider license reinstatement
8902ac2R	Reinstatement from entities owned or controlled by sanctioned individual
8902ac3R	Reinstatement from individuals owning or controlling sanctioned entity
8902ac4R	Reinstatement from claims involving overcharges for services or supplies
8902ac4aR	Reinstatement from claims for unnecessary services or supplies
8902ac4bR	Reinstatement from claims for poor quality services or supplies
8902ad1AR	Reinstatement from claims for services or supplies not furnished
8902ad1BR	Reinstatement from claims violating applicable charge limits
8902ad1CR	Reinstatement from claims for services or supplies post debarment
8902Ad2R	Reinstatement from false, deceptive or wrongful claims practices
8902ad3R	Reinstatement from failure to disclose required information

9. Appendix E: Documentation Special Request

For participating organizations to use when requesting images for supporting documents for disciplinary actions not already in system:

Plan ID	CAQH Provider ID	Last Name	First Name	Middle	Suffix	City	State

Revision History

v1	December 21, 2004	Original
v2	July 29, 2005	Release 2.0 – added Sanction Effective Date and Sanction Posted Date as new fields.
v3	July 18, 2008	Updated document to reflect current print screens and new URLs.
v4	February 4, 2015	Updated document to reflect CAQH ProView’s print screens and revised processes based on the enhanced CAQH ProView portal.
v5	October 28, 2015	Revised process flow diagram.
V6	April 15, 2016	Updated Sanctions License Codes table
V7	June 13, 2016	Updated Appendix B: Sanction Sources
V8	July 1, 2016	Added BRCH SA and BRCH SAR to OIG Sanction and OIG Reinstatement Codes
V9	July 7, 2016	Updated file specifications – added NPI as a new field in the Extract Sanction Layout in section 3.3 (effective 9/26/16). Updated email referenced in section 1.3 and section 5.2.